



1 on the same events. (Id.) This charge was filed “in satisfaction” of the prior charge of  
2 burglary in the third degree. (Id.) Plaintiff entered a plea of guilty to the petit larceny charge.  
3 (Id.)

4 Cornell’s counsel initially believed that the City Court’s records of the criminal  
5 proceedings against Plaintiff were sealed. However, on October 15, 2007, Valerie Dorn,  
6 Associate University Counsel at Cornell, received a telephone call from the City Court Clerk’s  
7 Office informing her that the records were not sealed and were available for pickup. (Dorn  
8 Decl. ¶ 5.) Ms. Dorn obtained the records on October 16, 2007. (Id.)

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10 **B. Judicial Proceedings Before the County Court of Tompkins**

11 On October 15, 2007, the City Court Clerk informed Ms. Dorn that the County Court  
12 of Tompkins County (“County Court”) possessed sealed records relating to criminal charges  
13 against Plaintiff. (Dorn Decl. ¶ 6.) Cornell’s counsel applied to the County Court to unseal  
14 the records of the criminal proceedings against Plaintiff. In an order issued after Cornell filed  
15 its moving papers in this case, the County Court concluded that Cornell had provided  
16 sufficient grounds to grant the motion to unseal records of the County Court, the Tompkins  
17 County District Attorney’s Office, and the Cornell University Police. (Supp. Request for  
18 Judicial Notice, Ex. E.) Cornell obtained the unsealed records, which are attached as Exhibit  
19 F to the Supplemental Request for Judicial Notice.

20 These records show that Cornell police became interested in Plaintiff as a result of  
21 their investigation of a theft of two books from Fernow Hall that took place between March  
22 4 and March 5, 1983. The stolen books were located at Triangle Books (“Triangle”), a local  
23 bookstore which bought used books. (Ex. F at 7.) A log kept by Triangle showed that  
24 someone with the initials “K.V.” and the Student I.D. # 222416 sold the stolen books to  
25 Triangle on March 5, 1983. (Id.) This information led the Cornell police to Plaintiff. (Id.)

26 Further investigation led Cornell police to believe that Plaintiff was also the perpetrator  
27 of nine additional thefts in Fernow Hall and five unsolved thefts in Bradfield Hall. (Ex. F at  
28 23.) A search of Plaintiff’s bedroom resulted in the discovery of a TI 51 calculator, Sanyo

1 tape recorder, and a JVC stereo cassette player/recorder, all of which were stolen from  
2 Fernow Hall. (Ex. F at 17.)

3 Plaintiff was interviewed and admitted that he had a key to Bradfield Hall and that he  
4 took books from Room 411 and sold them for cash. (Ex. F at 29.) He admitted to the five  
5 unsolved thefts in Bradfield Hall. (Ex. F at 29-30.) Plaintiff explained that he discovered a  
6 tunnel between Bradfield Hall to Fernow Hall and admitted that he took a calculator, cassette  
7 deck, cassette recorder, and various books from Fernow Hall. (Id.) When asked about  
8 specific books that were stolen from Fernow Hall, Plaintiff either conceded that he had taken  
9 them, indicated that the books sounded “familiar,” or that he “imagine[d]” he took them. (Ex.  
10 F at 30.)

11 On June 7, 1983, a Tompkins County Grand Jury returned an indictment charging  
12 Plaintiff with two counts of burglary in the second degree. (Ex. F at 69.) On August 1, 1983,  
13 the County Court granted Plaintiff’s motion to dismiss the indictment as defective (for  
14 mistakenly charging burglary in the second degree instead of the proper charge of burglary  
15 in the third degree). (Ex. F at 90, 92-93.) The People were given permission to refile. (Id.)  
16 However, Plaintiff agreed to plead guilty to a misdemeanor in City Court upon the condition  
17 that the People not refile felony charges in County Court and agree to recommend a penalty  
18 no harsher than probation. (Ex. F at 91.)

19 As indicated above, on August 17, 1983, Plaintiff was charged with petit larceny, a  
20 misdemeanor, in City Court. (Ex. A at 7.) On August 22, 1983, Plaintiff entered a plea of  
21 guilty to the petit larceny charge. (Ex. A at 8.) On March 5, 1985, the County Court issued  
22 an order sealing official records regarding the second degree burglary charges in the County  
23 Court proceedings. (Ex. F at 95.) The order was sent to the Cornell police and the District  
24 Attorney. (Ex. F. at 94.) The records of the misdemeanor in City Court were not sealed.

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27 **C. Cornell Chronicle**

28 On March 17, 1983, the Cornell Chronicle, a weekly University publication, included

1 the following paragraph on page 6 (as part of the “Blotter Barton” column):

2 Department of Public Safety officials have charged Kevin G. Vanginderen of  
3 603 Winston Court Apartments with third degree burglary in connection with  
4 10 incidents of petit larceny and five burglaries on campus over a period of a  
5 year. Safety reported recovering some \$474 worth of stolen goods from him.

(Def.’s Request for Judicial Notice, Ex. B.)

6 Paper copies of all issues of the Cornell Chronicle are maintained in the Cornell  
7 University Library (“CUL”) and are accessible to library patrons who are physically present  
8 on campus. (Kenney Decl. ¶ 4.) The Cornell Chronicle has also been microfilmed and is  
9 available to anyone who has access to a library that participates in the interlibrary loan  
10 system. (Id.) CUL has engaged in multiple long-term projects to digitize information  
11 resources in its collection. (Id. at ¶ 3.) Many of these digital materials are stored in CUL’s  
12 institutional repository, known as eCommons. (Id.) The Cornell Chronicle is one of the  
13 resources that is being digitized. (Id. at ¶ 4.) Digitized copies of the Cornell Chronicle are  
14 accessible to anyone who has computer access to CUL’s eCommons. (Id.)

15 According to Plaintiff, until September 2, 2007, he had not been aware of the March  
16 17, 1983 Cornell Chronicle article about himself. (Vanginderen Decl. ¶ 8.) He first learned  
17 about the article when he ran a “Google” search on himself and the “Google” results included  
18 an excerpt from and a link to the digitized version of the article. (Id. at ¶ 9.) Plaintiff  
19 requested that Cornell remove the references to himself from the digitized version of the  
20 March 17, 1983 Cornell Chronicle. Cornell refused Plaintiff’s request.

#### 21 **D. Plaintiff’s Complaint**

22 On October 1, 2007, Plaintiff filed his Complaint in state court. Plaintiff asserted  
23 claims of libel and public disclosure of private facts. On October 29, 2007, Cornell removed  
24 the action to this Court.

## 25 **II. LAW GOVERNING ANTI-SLAPP MOTIONS**

26 California Code of Civil Procedure § 425.16, California’s anti-SLAPP (anti-Strategic  
27 Lawsuit Against Public Participation) statute, was enacted “to allow early dismissal of  
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1 meritless first amendment cases aimed at chilling expression through costly, time-consuming  
2 litigation.” Metabolife Int’l, Inc. v. Wornick, 264 F.3d 832, 839 (9th Cir. 2001). See also  
3 Wilcox v. Superior Court of Los Angeles County, 27 Cal. App. 4th 809, 823 (9th Cir. 1994)  
4 (explaining that section 425.16 was intended to provide a “fast and inexpensive unmasking  
5 and dismissal of SLAPPs”). The anti-SLAPP statute provides:

6 A cause of action against a person arising from any act of that person in  
7 furtherance of the person’s right of petition or free speech under the United  
8 States or California Constitution in connection with a public issue shall be  
subject to a special motion to strike, unless the court determines that the  
plaintiff has established that the plaintiff will prevail on the claim.

9 Cal. Civ. Proc. Code § 425.16(b)(1).

10 As used in the anti-SLAPP statute, the term “act in furtherance of a person’s right of  
11 petition or free speech under the United States or California Constitution in connection with  
12 a public issue” includes the following:

13 (1) any written or oral statement or writing made before a legislative, executive,  
14 or judicial proceeding, or any other official proceeding authorized by law; (2)  
15 any written or oral statement or writing made in connection with an issue under  
16 consideration or review by a legislative, executive, or judicial body, or any other  
17 official proceeding authorized by law; (3) any written or oral statement or  
writing made in a place open to the public or a public forum in connection with  
an issue of public interest; (4) or any other conduct in furtherance of the  
exercise of the constitutional right of petition or the constitutional right of free  
speech in connection with a public issue or an issue of public interest.

18 Cal. Civ. Proc. Code § 425.16(e).

19 The defendant bringing the motion to strike bears the initial burden of showing that  
20 the challenged causes of action arise from an act or acts in furtherance of the defendant’s  
21 right of petition or free speech. Zamos v. Stroud, 32 Cal. 4th 958, 965 (2004). Once that  
22 burden is met, the burden shifts to the plaintiff to establish the probability that plaintiff will  
23 prevail on the claim. Id. To satisfy this prong, the plaintiff “must demonstrate that the  
24 complaint is both legally sufficient and supported by a sufficient prima facie showing of facts  
25 to sustain a favorable judgment if the evidence submitted by the plaintiff is credited.” Jarrow  
26 Formulas, Inc. v. LaMarche, 31 Cal. 4th 728, 741 (2003) (citation omitted).

27 When determining whether the defendant has met his initial burden of demonstrating  
28 that the challenged causes of action arise from protected conduct, courts necessarily look

1 to the allegations in the operative complaint. Brill Media Co., LLC v. TCW Group, Inc., 132  
2 Cal. App. 4th 324 (2005). Courts may also examine affidavits in making this assessment.  
3 Id. In contrast, in determining the probability of the plaintiff prevailing on the claim, courts  
4 look to the evidence that will be presented at trial and require that the evidence be  
5 admissible. Church of Scientology v. Wollersheim, 42 Cal. App. 4th 628, 654 (1996). The  
6 applicable standard for determining whether the plaintiff has met his burden is the same as  
7 for a motion for summary judgment. Colt v. Freedom Communications, Inc., 109 Cal. App.  
8 4th 1551, 1557 (2003).

### 10 **III. DISCUSSION**

#### 12 **A. Applicability of anti-SLAPP Statute**

13 The first question that must be addressed is whether Plaintiff's claims fall within the  
14 scope of the anti-SLAPP statute. For the reasons discussed below, the Court finds that  
15 Plaintiff's claims are subject to a special motion to strike.

16 As mentioned above, the anti-SLAPP statute covers any "act in furtherance of a  
17 person's right of petition or free speech under the United States or California Constitution in  
18 connection with a public issue," including any "conduct in furtherance of the exercise of the  
19 constitutional right of petition or the constitutional right of free speech in connection with a  
20 public issue or an issue of public interest." Cal. Civ. Proc. Code § 425.16(b), (e)(4). A  
21 statement or conduct is "in connection with a public issue or an issue of public interest" if "the  
22 statement or conduct concerns a topic of widespread public interest and contributes in some  
23 manner to a public discussion of the topic." Hall v. Time Warner, Inc., 153 Cal. App. 4th  
24 1337, 1347 (2007). The "public interest" requirement must be "construed broadly," to  
25 encourage continued participation in matters of public significance. Cal. Civ. Proc. Code §  
26 425.16(a). See also Gilbert v. Sykes, 147 Cal. App. 4th 13, 23 (2007).

27 Here, the article in the Cornell Chronicle, in both its original and digitized versions,  
28 concerns an issue of public interest – reporting of a criminal charge that was and is part of

1 the Ithaca City Court's public records. Although Plaintiff contends that the records of the  
2 criminal proceedings in City Court were sealed, the evidence submitted by Cornell indicates  
3 otherwise. Specifically, the County Court's order regarding the sealing of records was not  
4 sent to the City Court, the City Court Clerk informed counsel for Cornell that its records were  
5 not sealed, and Cornell was able to obtain the records, which were then submitted to the  
6 Court in connection with the motion.<sup>1</sup>

7 Case law establishes that the truthful reporting of information in a public record is  
8 protected by the First Amendment. In Cox Broadcasting Corp. v. Cohn, 420 U.S. 469 (1975),  
9 the Supreme Court explained:

10 By placing the information in the public domain on official court records, the  
11 State must be presumed to have concluded that the public interest was thereby  
12 being served. *Public records by their very nature are of interest to those  
13 concerned with the administration of government, and a public benefit is  
14 performed by the reporting of the true contents of the records by the media.*  
15 The freedom of the press to publish that information appears to us to be of  
critical importance to our type of government in which the citizenry is the final  
judge of the proper conduct of public business. In preserving that form of  
government the First and Fourteenth Amendments command nothing less than  
that *the States may not impose sanctions on the publication of truthful  
information contained in official court records open to public inspection.*

16 Id. at 495. Thus, the truthful reporting of information in public official records regarding  
17 criminal proceedings against an individual are protected by the First Amendment regardless  
18 of whether the reporting is concurrent with the criminal proceedings or years later. Gates v.  
19 Discovery Communications, Inc., 34 Cal. 4th 679, 693 (2004) (“[T]he high court has never  
20 suggested, in Cox or in any subsequent case, that the fact the public record of a criminal  
21 proceeding may have come into existence years previously affects the absolute right of the  
22 press to report its contents.”)

23 The Cornell Chronicle article reported information regarding the charge of third-degree  
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25 <sup>1</sup> Plaintiff objects to the Court's consideration of the City Court records, County Court  
26 records, and records of the Cornell Police and County District Attorney. Plaintiff contends  
27 that the records are not properly authenticated. Plaintiff's objection is overruled. Cornell has  
28 submitted declarations that the records submitted to the Court are the true and correct copies  
of the records obtained from the City Court and the records that were unsealed pursuant to  
the County Court's November 16, 2007 order. (Dorn Decl. ¶ 5; Roth Decl. ¶ 6.) Cornell has  
made a sufficient showing that the documents are what Cornell claims them to be, and the  
Court takes judicial notice of them pursuant to Fed. R. Civ. P. 201.

1 burglary brought in the County Court. The County Court's records were not then sealed and  
2 were public. Although the article also reported facts that were not part of the court's records  
3 – i.e., information regarding the seizure of items from Plaintiff's home and Plaintiff's  
4 suspected involvement in a total of ten petit larcenies and five burglaries – these facts relate  
5 to the circumstances of the charge and, therefore, also concern a matter of public interest.

6 Accordingly, Plaintiff's Complaint is subject to a special motion to strike under the anti-  
7 SLAPP statute.

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9 **B. Probability of Prevailing on the Merits**

10 Because Cornell has met its initial burden of demonstrating that the challenged  
11 causes of action arise from protected conduct, the burden shifts to Plaintiff to establish the  
12 probability that he will prevail on his claims. The Court concludes that Plaintiff has failed to  
13 satisfy his burden.

14 Truth is an absolute defense to any libel action. Campanelli v. The Regents of the  
15 University of California, 44 Cal. App. 4th 572, 581-82 (1996). However, in order to qualify  
16 for the defense, “the defendant need not prove the literal truth of the allegedly libelous  
17 accusation, so long as the imputation is substantially true so as to justify the ‘gist or sting’ of  
18 the remark.” Id. (quoting Emde v. San Joaquin County Central Labor Council, 23 Cal. 2d  
19 146, 160 (1943)). Libel law “overlooks minor inaccuracies and concentrates on the  
20 substantial truth.” Masson v. New Yorker Magazine, Inc., 501 U.S. 496, 516 (1991).<sup>2</sup>

21 Here, the Cornell Chronicle article was substantially true. The challenged language  
22 of the article is as follows:

23 Department of Public Safety officials have charged Kevin G. Vanginderen of  
24 603 Winston Court Apartments with third degree burglary in connection with  
25 10 incidents of petit larceny and five burglaries on campus over a period of a  
26 year.

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27 <sup>2</sup> There is no need to engage in a choice-of-law analysis because New York law  
28 governing libel is substantially the same as California law. See Matovcik v. Times Beacon  
Record Newspapers, 849 N.Y.S.2d 75, 78 (2007) (explaining that even if a publication is not  
literally true in all respects, the defense of truth applies as long as the publication is  
“substantially true.”).

1 Plaintiff was charged with third-degree burglary, and the charge arose out of an investigation  
2 that linked Plaintiff with a total of ten incidents of petit larceny and five burglaries on campus.  
3 Thus, the charge had a connection to the ten incidents of petit larceny and five burglaries.  
4 The use of the vague phrase “in connection with” was somewhat confusing and may have  
5 led some readers to believe that Plaintiff was charged with all fifteen incidents. However, the  
6 article did not state that Plaintiff was charged with all of the crimes. Although the article may  
7 have been poorly written, the “gist” or “sting” of the article was true. Therefore, Plaintiff  
8 cannot prevail on his libel claim.

9 Plaintiff also fails to establish the probability that he will prevail on his claim for public  
10 disclosure of private facts. Under California law, the elements of the tort of public disclosure  
11 of private facts are (1) public disclosure (2) of a private fact (3) which would be offensive and  
12 objectionable to the reasonable person and (4) which is not of legitimate public concern.  
13 Taus v. Loftus, 40 Cal. 4th 683, 801 (2007).<sup>3</sup> With respect to the fourth element, “lack of  
14 newsworthiness is an element of the ‘private facts’ tort, making newsworthiness a complete  
15 bar to common law liability.” As discussed in Section III.A., supra, the article reported on a  
16 matter of public interest. Therefore, Plaintiff’s claim for public disclosure of private facts fails  
17 on the merits.

18 In conclusion, Cornell’s special motion to strike is granted in its entirety. Cornell’s  
19 evidentiary objections are denied as moot because the Court’s conclusions would be the  
20 same whether the objections were overruled or sustained. The Court does not reach the  
21 issue of whether Cornell republished the article when Cornell digitized it and made it  
22 accessible on the internet. As the prevailing party on the motion to strike, Cornell is entitled  
23 to reasonable attorney’s fees and costs. Cal. Civ. Proc. Code § 425.16(c). The amount of  
24 the attorney’s fees shall be determined upon motion by Cornell. Costs may be sought in the  
25 manner provided by Civ.L.R. 54.1 after the entry of judgment.

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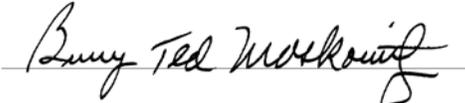
28 <sup>3</sup> New York law does not recognize a common-law right of privacy. See Messenger  
ex rel. Messenger v. Gruner & Jahr Printing and Pub., 94 N.Y.2d 436, 441 (2000).

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**IV. CONCLUSION**

For the reasons discussed above, Cornell's Special Motion to Strike is **GRANTED**.  
The Complaint is **DISMISSED WITH PREJUDICE**. The Clerk shall enter judgment  
accordingly. Any motion for attorney's fees must be brought within 30 days of this order.  
**IT IS SO ORDERED.**

DATED: June 3, 2008

  
Honorable Barry Ted Moskowitz  
United States District Judge